GEN/035

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants** 

Steven A. Boyd et al.

Application No.

10/593,911

Confirmation No.:

6038

Filed

March 23, 2005

For

THIOALKENEAMIDES AS TRANSKETOLASE

**INHIBITORS** 

**Group Art Unit** 

1614

Examiner

To Be Assigned

**MAIL STOP: PCT** 

Hon. Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

May 11, 2007 Palo Alto, CA

# RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. § 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

Sir:

This responds to the April 23, 2007 Notification of Missing Requirements Under 35 U.S.C. § 371 in the United States Designated/Elected Office (DO/EO/US) (hereinafter "Notification") in the above-identified application.

The Notification states that additional claim fees of \$300 are required for 3 independent claims over 3. Applicants submit herewith a 2nd Preliminary Amendment which makes clear that the pending claims include only one (1) independent claim. Accordingly,

Applicants believe that no additional claim fees are due.

05/15/2007 MKAYPAGH 00000107 061075 10593911

01 FC:2617 02 FC:2614 65.00 DA 300.00 DA The Notification also states that an oath or declaration of the inventors, in compliance with 37 C.F.R. § 1.497(a) and (b), must be furnished in order to complete the requirements for acceptance under 35 U.S.C. § 371. The Notification also states that the oath or declaration now on file does not comply with 37 C.F.R. § 1.497(a) and (b) in that it is not executed in accordance with either 37 C.F.R. §§ 1.66 or 1.68. Accordingly, applicants enclose herewith two (2) Declaration and Power of Attorney forms duly executed by all inventors.

The Director is hereby authorized to charge \$65 in payment of the surcharge for a small entity under 37 C.F.R. § 1.492(h), as well as any additional fees due or to credit any overpayment in connection with this Request, to Deposit Account No. 06-1075 (Order No. 001581-0301). A duplicate copy of this request is enclosed herewith.

Tae Bum Shin

Ltd. Reg. No. L0091

Agent for Applicants

Fish & Neave IP Group

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Application No. (if known): 10/593,911

Attorney Docket No.: GEN/035

## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail No. EV843187579US in an envelope addressed to:

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on	May 11, 2007
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- Xil	
V Signature	
Lily Jiang	
Typed or printed name of pers	on signing Certificate
Registration Number, if applicable	650.617.4000 Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

- 1. Response to Notification of Missing Requirements (2 pages) in Duplicate
- 2. 2nd Preliminary Amendment (11 pages)
- 3. Two (2) Declaration and Power of Attorney forms executed by all inventors (10 pages)
- 4. Copy of Notification of Missing Requirement (2 pages)



1473

#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
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www.nspto.gov

U.S. APPLICATION NUMBER NO.

1211 AVENUE OF THE AMERICAS

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/593.911

Steven A. Boyd

GEN/035

CONFIRMATION NO. 6038

INTERNATIONAL APPLICATION NO.

PCT/US05/09966

RECEIVED

I.A. FILING DATE

PRIORITY DATE

03/23/2005

03/24/2004

APR 27 2007

APES & GRAYLLE PATENT DEPT.

371 FORMALITIES LETTER

\*OC000000023497803\*

Date Mailed: 04/23/2007

FISH & NEAVE IP GROUP

NEW YORK, NY 10036-8704

**ROPES & GRAY LLP** 

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Fatantan ROPES & GRAY LLP Office as a Designated / Elected Office (37 CFR 1.495). Patent Dept.

- Indication of Small Entity Status
- Copy of the International Application filed on 09/22/2006
- Copy of the International Search Report filed on 09/22/2006
- Preliminary Amendments filed on 09/22/2006
- Oath or Declaration filed on 09/22/2006
- Request for Immediate Examination filed on 09/22/2006
- U.S. Basic National Fees filed on 09/22/2006
- Priority Documents filed on 09/22/2006
- Specification filed on 09/22/2006
- Claims filed on 09/22/2006
- Abstracts filed on 09/22/2006

MAY 0 3 2007

REFERRED TO NOTED BY

File No.:

**Action Desc:** 

Due Date:

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$300 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27,
must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$365 for a Small Entity:

- \$65 Surcharge.
- Total additional claim fee(s) for this application is \$ 300
  - \$300 for 3 independent claims over 3.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

**VONDA M WALLACE** 

Telephone: (703) 308-9140 EXT 225

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/593.911	PCT/US05/09966	GEN/035

FORM PCT/DO/EO/905 (371 Formalities Notice)